

Model business rules graphically and execute them in the Avaloq Banking Suite.

Avaloq Business Rules Standard Adapter

Transparent and revision-proof management of investment suitability rules

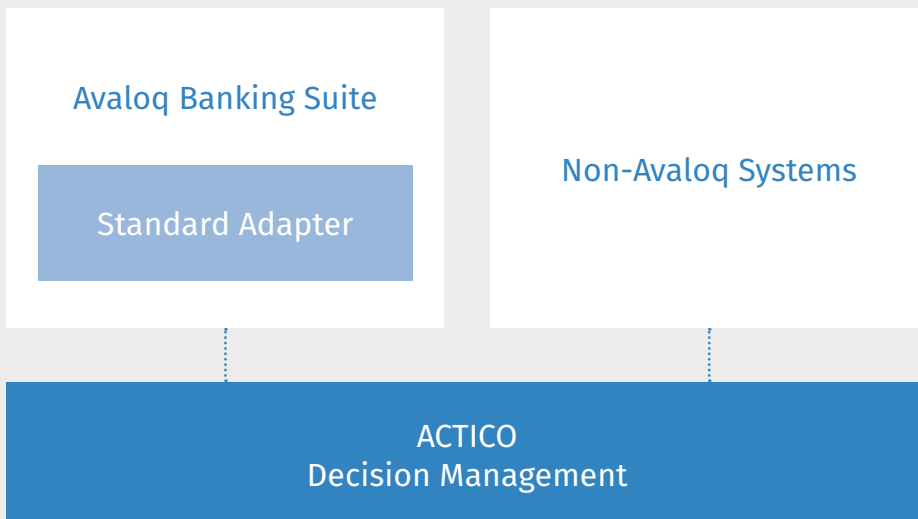


Implement MiFID II, PRIIPS and FIDLEG

Many Avaloq banks are facing the implementation of requirements from MiFID2, PRIIPS and FIDLEG. Guidelines for investor protection, especially regarding cross-border banking, have to be implemented. Investment suitability and, as a result, Avaloq's Investment Suitability Framework are the focus of attention. An extensive set of complex business rules have to be mastered in order to meet the demanding regulatory requirements for investor protection.

ACTICO Decision Management works reliably with the Avaloq Banking Suite via Standard Adapter and enables you to graphically model the appropriate rules for usage within and outside the Avaloq Banking Suite. As a result, they are readable by the business department and 100 percent transparent. ACTICO provides Avaloq banks with state-of-the-art rule management to master the extensive and complex rules.

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With ACTICO Decision Management, you model the investment suitability rules graphically. You can hereby resort to content from digital country manuals. Rules can be imported to the Avaloq Banking Suite via Standard Adapter or made available as a service for other systems, such as CRM, e-banking or the ACTICO Cross-Border Suitability Workplace.

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Country Manuals

Features

Graphical Modeling

With ACTICO Decision Management, you model the investment suitability rules graphically. These rules are understandable for the business department and can be executed directly.

Export for the Avaloq Banking Suite

Defined rules are exported by ACTICO Decision Management in a format readable by the Avaloq Banking Suite. The export contains the decision tables for investment suitability.

Import via Avaloq Standard Adapter

The decision tables are being imported via Standard Adapter into Avaloq Investment Suitability Framework. The rules are applied within Avaloq Banking Suite in its Advisory Module.

Digital Country Manuals

ACTICO Decision Management graphically presents the rules of digital country manuals from established providers. These can be administered, supplemented and provided for the Avaloq Banking Suite.

Central Rules Management

With ACTICO Decision Management, you manage rules on investment suitability centrally for multiple systems. You can export them for the Avaloq Banking Suite or provide them to other systems as a service.

Advantages

Tested Interaction of ACTICO with Avaloq

Thanks to the partnership, the behavior of Avaloq's Investment Suitability Framework can be perfectly managed using ACTICO graphical rule modeling.

Revision-proof Rules

The graphical representation of investment suitability rules in ACTICO Decision Management ensures readability by the business department at any time. Rules are managed along with their change history, so changes always remain transparent.

State-of-the-art Rules Management

For many years, ACTICO has been providing proven tools for creating, testing and managing business rules. Through the Avaloq Standard Adapter our state-of-the-art rules management now becomes available for the Avaloq Banking Suite.

Transparency in MiFID II, PRIIPS and FIDLEG

The ability to model rules graphically significantly increases the transparency over market conduct rules, that financial service providers have to comply with, when dealing with their clients.

Consistency

The central rules management ensures consistency within distributed and heterogeneous architectures.

DMN Standard connects ACTICO Decision Management and the Avaloq Banking Suite.

As data exchange format of rules, Avaloq and ACTICO use the DMN Standard (Decision Model and Notation) of OMG (Object Management Group). ACTICO Decision Management exports DMN models and the Avaloq Banking Suite imports them via Standard Adapter.

DMN – the Common Base for Business, Analysts and IT

Decision Model and Notation (DMN) aims at standardizing the way operational business decisions such as compliance checks are described and modeled. The notation serves as a common language for all kinds of stakeholders: Business departments, analysts and IT professionals use DMN models as a common basis to model, exchange and document business decisions and their requirements.

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