

New

ACTICO Compliance Suite for the Avaloq banking platform

Prevent financial crime and fraud by integrating a sophisticated solution via the Avaloq Standard Adapter

KEY FEATURES

Full integration of the ACTICO Compliance Suite

Integrate new regulatory requirements

Prevent and clarify financial fraud and compliance violations

Machine learning

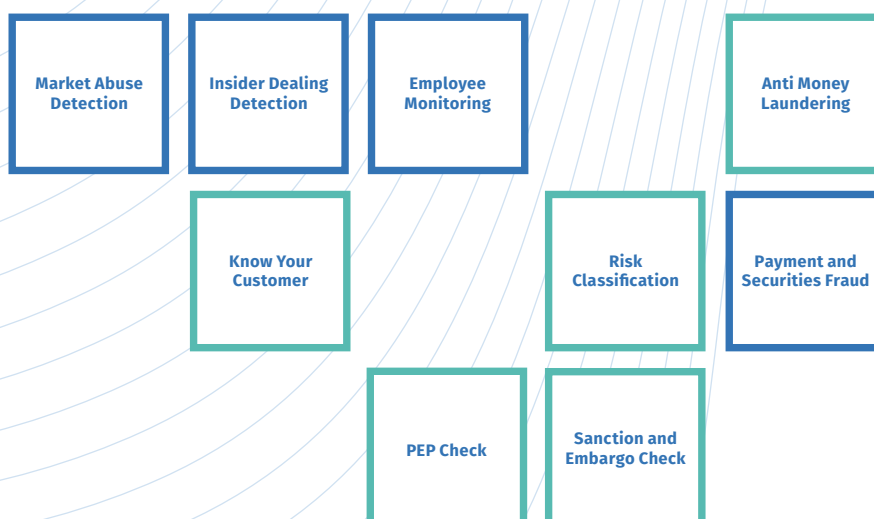
OVERVIEW

Banks that use an Avaloq core banking system can now integrate it with the ACTICO Compliance Suite. By integrating the Avaloq Standard Adapter, all compliance analyses, such as monitoring money laundering, terrorism financing and the detection of market abuse, insider trading and fraud, are covered by the Compliance Suite.

Compliance systems need to be able to map new regulatory requirements. The rule-based approach of the ACTICO Compliance Suite makes it possible to change, simulate and test rules and implement them in a flexible way.

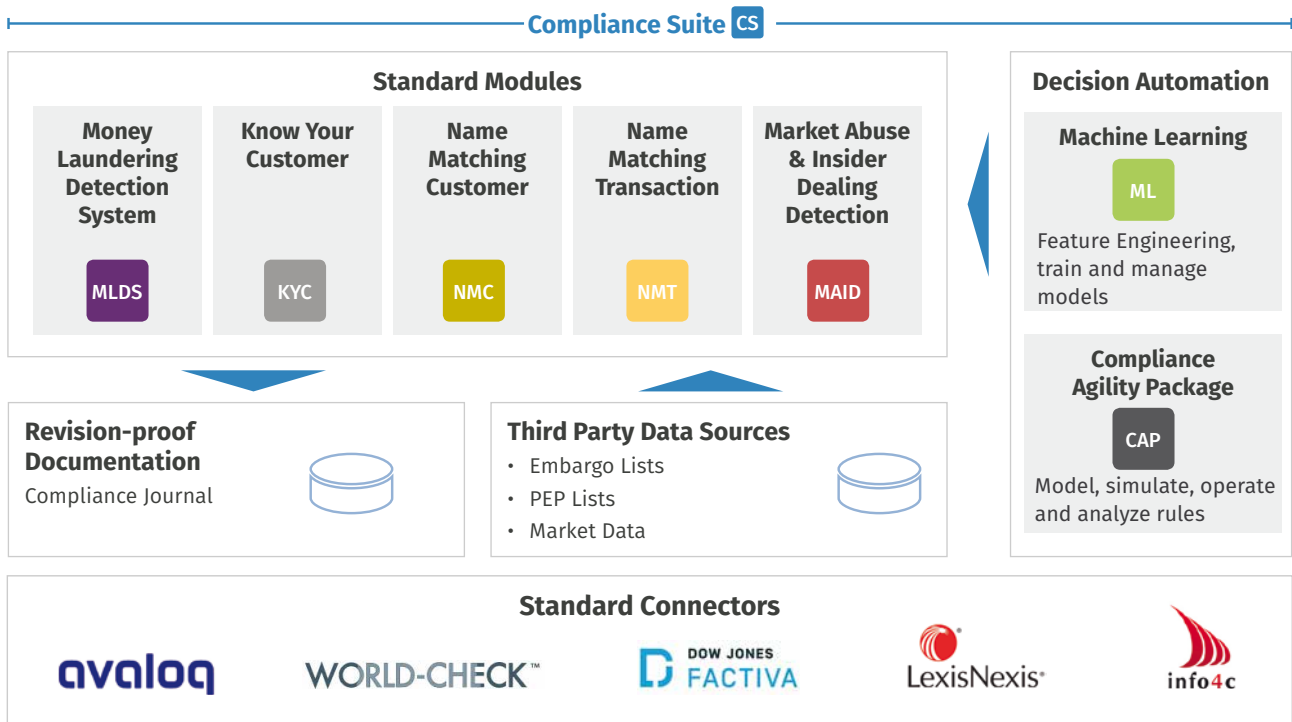
The demands on compliance applications are increasing in line with the rise in fraud, money laundering and market abuse. The ACTICO Compliance Suite helps banks to automate their compliance monitoring and clarification processes.

In the ACTICO Compliance Suite, rule-based technology is enhanced with machine learning processes. This makes it possible to prioritize unusual business transactions and reduce false positives, allowing compliance officers to focus on the most pertinent cases.



ACTICO COMPLIANCE SUITE AT A GLANCE

Thanks to its modular design, a range of functions can be used via a single interface. And now the Compliance Suite can be integrated with the Avaloq banking platform. Banks that use Avaloq software can now carry out all their compliance tasks using the proven Compliance Suite and automate their processes still further.



MLDS MONEY LAUNDERING DETECTION SYSTEM

- MLDS checks **payments** and recognizes conspicuous customer behavior.
- MLDS enables risk-oriented **clarification** and concentration on relevant cases.

KYC KNOW YOUR CUSTOMER

- KYC checks new and existing customers and creates the **KYC profile**.
- Customer and payment data are evaluated in the **risk classification** and form the basis of the risk-based approach.

NMC NAME MATCHING CUSTOMER

- The software compares the **customer base** with PEP and sanction lists as well as internal checklists.
- **New customers** from onboarding are checked against blacklists.

NMT NAME MATCHING TRANSACTION

- Name Matching Transaction checks **payments** against blacklists and stops them before execution, if necessary.
- Defined workflows enable the **immediate clarification** of hits before the payment is executed.

MAID MARKET ABUSE & INSIDER DEALING DETECTION

- MAID detects market manipulation & insider trading and supports the clarification of conspicuous orders in **securities trading**.
- MAID serves to manage **insider knowledge** and takes into account internal and legal rules in securities trading.

CAP COMPLIANCE AGILITY PACKAGE

- With ACTICO Rules, CAP ensures that **compliance experts** can flexibly and independently adjust their existing rules and create new rules.
- In CAP, rules are historized in a **revision-proof** way.